

Common Application Form for Debt & Liquid Schemes

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units)

| | | |
|---|---|---|
| Distributor / Broker ARN ARN Prudent CAS Ltd 9992 | Sub-Broker Code BHAVESH MODH 27030 | Application No. : W <div style="border: 1px solid black; padding: 5px;"> For Office Use Only </div> |
|---|---|---|

1 EXISTING UNITHOLDER [Please fill in your Folio No. below. Please furnish only KYC and PAN details in Section 2 (if not provided earlier) and proceed to Section 3]

Please note that applicant details and mode of holding will be as per existing Folio Number. Folio No.

2 APPLICANT'S INFORMATION (Please fill in Block Letters use one box for one alphabet leaving one box blank between two words)

SOLE / FIRST APPLICANT'S PERSONAL DETAILS

Name Mr | Ms | M/s

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth † (Mandatory for Minors)

Occupation † (✓) Private Sector Service Public Sector / Government Service Business Professional Agriculturist Retired
 Housewife Student Forex Dealer Others (Please specify) _____

Guardian Name (if Sole / First applicant is a Minor) **Contact Person** (in case of Non-individual Investors only)

Mr | Ms | M/s

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth † (Mandatory for Minors) (PAN/KYC Compliant not required for contact person but required for Guardian of Minor)

Nationality † Country of Residence †

Address for Correspondence † [P.O. Box Address is NOT sufficient] (Should be same as in CVL records, please refer to point 6 in Important Instructions)

| | |
|--|---|
| | |
| | |
| City | Pin Code |
| State | Country |

Contact Details

Phone O R Extn. Fax
 Mobile

Receive Account Statement, Annual Reports and other information instantly by e-mail* I/We wish to receive updates via SMS on my mobile (✓)

e-mail

I / We wish to receive the above by email I / We do not wish to receive the above by email
 * **Note** : Where the investor has not opted for any option or has opted for both options, the application will be processed as per the default option, i.e., receive the account statement, annual reports and other correspondence by e-mail and receive SMS updates on Mobile.

Overseas Address (Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in CVL records, please refer to point 6 in Important Instructions)

| | |
|--|---|
| | |
| State | Country |
| | City |
| | Zip Code |

Status (✓) Resident Individual Minor Partnership Company HUF FII NRI Trust Society AOP / BOI Others _____

Mode of Holding (✓) Single Joint Anyone or Survivor

Name of Second Applicant

Mr | Ms | M/s

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Name of Third Applicant

Mr | Ms | M/s

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

PoA Holder Details* (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

Name Mr | Ms | M/s

PAN** (Mandatory)

* W.e.f. 01 February, 2008, if the investment is Rs. 50,000 and above, all the applicants including PoA Holder need to be KYC Compliant. Please see point 6 under Important Instructions.
 ** Please note that w.e.f. 01 January, 2008, copy of PAN Card is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please submit photocopy of PAN Card (alongwith the original) for verification, which will be return across the counter. Please see point 5 under Important Instructions.
 † Please note that information sought here will be obtained from CVL also. In case of any differences, the CVL input will apply.

...continued overleaf ⇨

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final. Application No. : **W**

Received from Mr | Ms | M/s application for Units of

Scheme _____ Plan _____ Option _____

Sub-option _____ alongwith Cheque/DD No. _____ Dated _____

Drawn on (Bank) _____ Amount (Rs.)

Date **Please Note** : All purchase are subject to realisation of Instruments. ISC Stamp, Signature & date

3 BANK ACCOUNT DETAILS (MANDATORY as per SEBI Guidelines)

A/c. No. A/c. Type Current Savings NRO* NRE* * For NRI Investors

Bank Name

Branch Address City

MICR Code RTGS IFSC Code NEFT IFSC Code

▲ (9 digit number next to your Cheque No.) Please also provide a cancelled cheque leaf of the same bank account as mentioned above. Mentioning your RTGS IFSC Code or NEFT IFSC Code, as applicable, will help us transfer the amount to your bank account quicker, electronically.

4 INVESTMENT & PAYMENT DETAILS (Please Scheme / Plan / Option / Sub-option / Dividend Frequency)

Scheme HMIP HIF HGF HFRF HCF HLPF HFDF Option Regular Institutional Institutional Plus

Plan Sub-option Growth (default) Dividend Reinvestment Dividend Payout

Dividend Frequency Daily** Weekly† Monthly‡ Quarterly§ Fortnightly^ Half Yearly††

The scheme name mentioned on the application form and the cheque has to be same. In case of any discrepancy between the two, units will be allotted as per scheme name mentioned on the cheque only.
 ** Applicable for Institutional / Institutional Plus options of HCF, HFRF-ST & HLPF and Regular option of HFRF-ST, HLPF-Regular, HCF-Regular and HFDF-Institutional only.
 † Applicable for Institutional / Institutional Plus options of HFRF-ST, HLPF, HCF and Regular option of HFRF-ST, HLPF, HCF only. ‡ Applicable for Institutional / Institutional Plus Options of HCF, HFRF-ST & LT, HIF-SP, HLPF, HFDF, HGF and HMIP only. § Applicable for HMIP and HIF-IR only. ^ Applicable for Institutional Plus Option of HFDF only. †† Applicable for Regular Option of HFDF. Please note that dividend payout is available only in the Monthly Sub-Option.

Investment Amount (Rs.) DD charges (Rs.) Net Amount (Cheque / DD amount) (Rs.) Mode of Payment

Cheque / DD No. Dated

Cheque / DD Drawn on : Bank City

Branch City

A/C No. A/c. Type Current Savings NRO* NRE* (* For NRI Investors)

MANDATORY DECLARATION : The details of the bank account provided above pertain to my / our own bank account in my / our name Yes No. If No, my relationship with the bank account holder is Spouse Child Parent Relative Sibling Friend Others. Application Form without this information is liable to be rejected.

SYSTEMATIC INVESTMENT PLAN (SIP) is available in all Schemes of HSBC Mutual Fund. Investors can opt for SIP by filling up the SIP Application Form and SIP Auto Debit Form which is available with HSBC Investors Service Centres and CAMS Collection Centres.

5 SYSTEMATIC TRANSFER PLAN (STP) (For investors in Scheme(s) where applicable)

Transfer from Scheme HIF HGF HMIP HFRF HCF HLPF HFDF

Transfer to Scheme HEF HIOF HAIF HMEF HEMF HTSF HDF HMIP

Plan Option Growth Dividend Reinvestment Dividend Payout

Option Regular Institutional Institutional Plus

Sub-option Growth Dividend Reinvestment Dividend Payout

Dividend Frequency Daily Weekly Monthly Quarterly Fortnightly Half Yearly

Amount per instalment (Rs.)
 (Minimum transfer amount Rs.1000/- and in multiples of Re.1/- thereafter)

STP Date 3rd 10th 17th 26th All Dates

Instalment commencing From To

6 NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) (ref. important instruction 8)

I/We _____ and _____ (strike out which is not applicable) do hereby nominate the undermentioned nominee(s) to receive the units allotted to my / our credit in my Folio in the event of my / our death.

Name and Address of Nominee(s) / Guardian (in case Nominee is a Minor)

| | First Nominee | Second Nominee | Third Nominee |
|--|----------------------|----------------------|----------------------|
| Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Address | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Allocation % | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Date of Birth (in case nominee is a minor) | <input type="text"/> | <input type="text"/> | <input type="text"/> |

7 DECLARATION AND SIGNATURES

The Trustees, HSBC Mutual Fund
 Having read and understood the contents of the Scheme Information Document and Addenda of the Scheme(s) issued till date, I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the Scheme / Plan / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my/our bank(s) HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us. I/We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my / our bank account. I/We have read and agreed to the terms and conditions for ECS / Direct Debit.

*I/We confirm that I am/we are Non-Residents of Indian Nationality/ Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Schem(e)s of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.

*Applicable to NRI Date

Sole / First Applicant / Guardian / POA

Second Applicant / POA

Third Applicant / POA

Applications from investors resident in USA or Canada shall be rejected. Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

CALL US AT
 HSBC MUTUAL FUND INVESTOR SERVICE CENTRES :
 Ahmedabad : Tel : 98983 77319 • Bengaluru : Tel : 080 4118 6519 • Chandigarh : Tel : 0172 500 8119 • Chennai : Tel : 044 4200 8719 • Coimbatore : Tel : 98944 77319
 Hyderabad : Tel : 040 6667 4719 • Indore : Tel : 98934 77319 • Jaipur : Tel : 0141 - 3230719 • Kochi : Tel : 98954 77319 • Kolkata : Tel : 033 2213 9919
 Lucknow : Tel : 99367 97319 • Mumbai : Tel : 022 6666 8819 • New Delhi : Tel : 011 4149 0719 • Pune : Tel : 020 2600 1119 • Vadodara : Tel : 98983 77319
 CAMS CENTRES: Tel.: 1-800-200-2267